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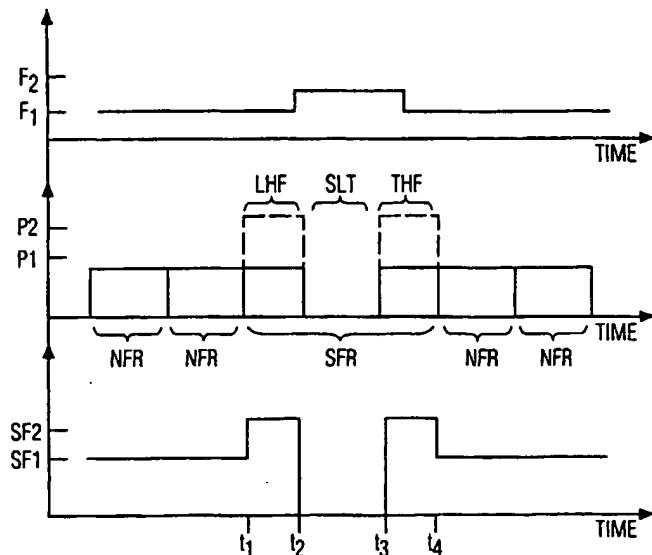
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(71) Applicant: KONINKLIJKE PHILIPS ELECTRONICS N.V. [NL/NL]; Groenewoudseweg 1, NL-5621 BA Eindhoven (NL).

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(72) Inventor: HENON, Alexandre; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).

(54) Title: SLOTTED MODE IN WIRELESS CDMA SYSTEMS



**WO 01/47155 A1**

(57) Abstract: A slotted communication mode employed in a wireless code division multiple access (CDMA) communication system includes slotted frames interspersed among normal frames, each slotted frame containing a slot during which a measurement of signal quality of transmission at a different candidate operating frequency is made by the mobile station, a leading frame portion preceding the slot, and a trailing frame portion succeeding the slot. In order to mitigate an increase in bit error rate (BER) as a result of use of the slotted mode the spreading factor (SF) of data in the leading and trailing frame portions is set to a value which is greater than that of data in the normal frames. The increase of spreading factor of data in these frame portions may be accompanied by an increase in power level therein, as a further BER mitigating factor.

## SLOTTED MODE IN WIRELESS CDMA SYSTEMS

The present invention relates to the slotted mode in wireless cellular or personal communications services (PCS) Code Division Multiple Access (CDMA) systems wherein idle slots appear sandwiched between leading and trailing active frame portions or partial frames and the mobile station makes signal quality measurements during the slots, e.g.

- 5 of pilot strength, at candidate operating frequencies different from the current or serving operating frequency. In its particular aspects, the present invention relates to adjustment of transmission parameters made with respect to communications in the leading and trailing frame portions to mitigate deterioration of communications and/or dropped calls.

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- It is specified in EIA/TIA IS 2000.5 section 2.6.6.2.8.3.3 that the mobile station measures the strength of all pilots in a candidate frequency search set in one or more visits to the candidate frequency, using actions including stopping various activities at the serving frequency, tuning from the serving frequency to the candidate frequency, measuring  
15 mean input power at the candidate frequency, returning to the serving frequency, and restarting activities thereon. These inter-frequency measurements are transmitted to the network infrastructure where they are used in making handover decisions.

- Handovers are employed in wireless cellular and personal communications systems to allow mobile stations to travel from the coverage area of one base station to  
20 another, including the ability to maintain the continuity of an ongoing call. While handovers are usually employed to transfer the mobile station from a current to a new base station, it is also possible to hand over a communication with the mobile station from one set of forward and reverse links to another of the same base station.

- In a CDMA system a handover of a mobile station between base stations can  
25 be either a soft handover, in which during a transient period the mobile station simultaneously maintains forward and reverse links with both the current and new base stations, generally at the same frequency, and receives the same data via both base stations, and a hard handover, where the mobile station switches from current links to new links

generally at a new frequency and/or of a new network without such a transient period in which current and new forward and reverse links are simultaneously maintained.

The known slotted mode is employed to provide idle slots during which the mobile station makes the inter-frequency measurements. The idle slots are usually, but not necessarily equal to a frame in duration, and are immediately preceded and immediately succeeded by frame portions or partial frames which are typically one half frame in duration.

For ease of explanation, each combination of a leading frame portion, a slot, and a trailing frame portion is referred to herein as a "slotted frame", and these "slotted frames" are interspersed among the usual frames referred to herein as "normal frames". The

instantaneous symbol rate  $R_{INFO}$ , and instantaneous spreading factor  $SF = R_{CHIP}/R_{INFO}$  (where  $R_{CHIP}$  is the constant chip rate of the Pseudo Noise (PN) spreading code) in these frame portions or half frames are maintained to be the same as in the normal frames. Then, in order to aid the recovery by the Viterbi decoder of symbols lost due to the lack of throughput during the slot, the power level, and consequently the symbol energy to noise ratio, of

transmissions in these frame portions is increased accordingly.

However, it is not always possible to sufficiently further increase the power level of transmission, e.g. when the power level of the mobile station is already at or near its maximum radiated power, which leads to increases in bit error rate (BER). Under such circumstances, the slotted mode may cause a dropped call, causing annoyance and

inconvenience to the parties to the call.

It is an object of the present invention to reduce the occurrence of dropped calls as a result of the slotted mode in CDMA wireless cellular and personal communication systems, by a method which is an alternative to, or can be used in addition to, increasing transmission power during the frame portions preceding and/or succeeding slots.

The present invention is based on the insight that to substantially obviate a high risk of a dropped call during or as a result of the slotted mode, an increase in BER may be mitigated by acts including sufficiently increasing the spreading factor of transmissions to and from the mobile station during these frame portions.

Thus, a slotted mode method in accordance with the present invention employed in a wireless CDMA communication system which slotted mode includes slotted frames interspersed among normal frames, each slotted frame comprising a slot during which a measurement of signal quality of transmission at a candidate operating frequency different

from the serving frequency is made by the mobile station, a leading frame portion preceding the slot, and a trailing frame portion succeeding the slot, is characterized in that data in the leading and trailing active frame portions of the slotted frame is transmitted at a spreading factor which is greater than, and preferably at least double, that of data in the normal frames.

5 Such a increase of spreading factor may be as an alternative to or in addition to increasing transmitting power during the active frame portions of the slotted frame.

Other objects, features and advantages of the present invention will become apparent upon perusal of the following detailed description when taken in conjunction with the appended drawing, wherein:

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Figure 1 schematically shows a mobile station in conjunction with a pair of wireless cellular networks;

Figures 2 is a general schematic diagram of the mobile station in Figure 1; and

15 Figures 3a, 3b, and 3c are, a graph of operating frequency versus time, a graph of transmission power versus time, and a graph of spreading factor (SF) versus time in accordance with the invention, respectively, in a time interval including a slotted frame.

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Referring first to Figure 1, there is shown a mobile station 10, which is capable of roaming, including while on a call, from the wireless coverage area of a first base station 22 of a Direct Sequence Code Division Multiple Access (DS-CDMA) network 20 to the wireless coverage of a second base station 32, which may be of the same network, or of a different DS-CDMA different network 30 as illustrated, such that an "inter-frequency"

25 handover may be required. By this is meant that the call is switched or transferred from a set of forward and reverse links L1 of mobile station 10 with base station 22 of network 20, which is at an operating frequency F1, to a set of forward and reverse links L2 of mobile station 10 with base station 32 of network 30, which is at an operating frequency F2. The decision to command a handover is made in network 20 and is preceded by measurements by  
30 mobile station 10 of signal quality of neighboring base stations, the results of which the mobile station communicates to network 20. Such signal quality measurements include inter-frequency measurements, including of pilot transmissions from base station 32, which measurements are made during idle slots presented in the slotted mode.

At the level of detail shown in Figure 1, the networks 20 and 30 are conventional. For purposes of illustration the network 20 is shown as comprising two base stations 22, 24, a base station controller 26 which controls the plurality of base stations 22, 24, and a network controller 28 which controls network 20. Similarly, network 30 is shown  
5 as comprising two base stations 32, 34, a base station controller 36 which controls the plurality of base stations 32, 34, and a network controller 38 which controls network 20. The network controllers are coupled by a communication link 40, and also each network controller is coupled to the public switched telephone network (PSTN) 42.

Mobile station 10 is schematically shown in Figure 2, which is also  
10 conventional at the level of detail shown. Therein, mobile station is seen to comprise an RF section 50, a baseband section 60, and a user interface section 70. RF section 50 comprises an antenna 52 coupled to a diplexer 53 which receives from the output of a power amplifier 54 an RF spread spectrum communication signal to be transmitted by antenna 52 and supplies an RF spread spectrum communication signal to the input of a low noise amplifier  
15 55 which has been received by antenna 52. A modulator 56, preferably having a zero IF structure (not shown), receives a baseband spread spectrum communication signal from an encoder 62 of baseband section 60, and an RF carrier signal from voltage controlled oscillator or frequency synthesizer 58 and supplies an RF spread spectrum communication signal to the input of power amplifier 54. Similarly, demodulator 57, preferably also having  
20 a zero IF structure (not shown), receives an RF spread spectrum communication signal from the output of low noise amplifier 55 and an RF carrier signal from oscillator or synthesizer 58 and supplies a baseband spread spectrum communication signal to decoder 63 of baseband section 60. Generally, at least the encoder 62 and decoder 63 are implemented by a digital signal processor (not shown). In addition to encoding and decoding, encoder 62 and decoder  
25 63 also perform spectrum spreading and despreading, respectively, in a well known manner by application of the relevant PN code sequence, at the relevant phase. Baseband section 60 also includes a microprocessor 64 which controls the frequency of oscillator or synthesizer 58, controls encoder 62 and decoder 63, and accesses a volatile random access memory unit 65 and a non-volatile read-only or memory unit 66, at least a part of which is programmable,  
30 e.g. an EEPROM (not shown) to contain firmware constituting the instructions used by microprocessor 64 to steer various events involved in the mobile station side of setup and handover of calls, including inter-frequency measurements of signal quality of pilot transmissions neighboring base stations at a different candidate operating frequencies, such as from base station 32.

Also included in baseband section 60 is an analog to digital (A/D) converter 67 which feeds encoder 62 and is fed by a microphone 72 of user interface section 70, and a digital to analog converter 68 which is fed by decoder 63 and feeds a speaker 74 of user interface section 70.

- 5 In CDMA wireless cellular systems, in particular in accordance with standards under development for third generation (3G) systems, various transmission parameters for the downlink or forward link from the current base station 22 to mobile station 10 and for the uplink or reverse link from mobile station 10 to current base station 22 can be adapted on a frame by frame basis by communication between the mobile station and the current base  
10 station. These parameters include transmission power and spreading factor. The latter is the ratio  $R_{CHIP}/R_{INFO}$ , where  $R_{CHIP}$  is the chip rate of the Pseudo Noise (PN) spreading code, and  $R_{INFO}$  is the symbol rate. Transmission power is normally adapted in a power control loop formed by interaction between the current base station and the mobile station in order to maintain signal quality. Transmission power is also adapted as the symbol rate and spreading  
15 factor are varied in a multi-rate service, since the transmit power may be reduced as the spreading factor is increased due to decrease of the symbol rate in order to improve the capacity of the CDMA system.

- As previously mentioned, in accordance with the present invention the BER is substantially presented from increasing during the slotted mode in a CDMA system by  
20 increasing the instantaneous spreading factor of transmissions to and from the mobile station during the active partial frame portions of the slotted frames. This increase in instantaneous spreading factor may be accompanied by, or be used instead of, an increase in the instantaneous transmission power level.

- Slotted frames are periodically interspersed among non-slotted or normal  
25 frames in a ratio such that there are plural normal frames for each slotted frame. In Figures 3A - 3C, there is illustrated a sequence of two normal frames NFR, a slotted frame SFR, and two further slotted frames SFR. Slotted frame SFR begins at time t1 and ends at time t4, an active leading frame portion or half frame LHF spanning the interval between t1 and t2, the idle slot SLT spanning the interval between t2 and t3, and the active trailing frame portion or  
30 half frame THF spanning the interval between t3 and t4. As further shown in Figure 3A, the operating frequency of mobile station 10 outside of the time interval spanned by the idle slot SLT is the serving frequency F1, during which the mobile station is in communication with current base station 22. The operating frequency of mobile station 10 is switched to the candidate frequency F2 during the idle slot SLT, to allow it to tune to the candidate

frequency F2 from base station 32 and measure the power of pilot transmissions at that frequency, which indicates the signal quality thereof.

Further, as shown in Figure 3B, the power level is maintained at level P1 in the normal frames NFR, but shows two alternatives during the leading frame portion LHF preceding idle slot SLT and the trailing frame portion succeeding idle slot SL. In one alternative, indicated by a solid line, the power level remains at P1 during these frame portions, under which circumstances the entire BER mitigating effect must be produced by increasing spreading factor, whereas in the other alternative, indicated by dashed line the instantaneous power level is increased to level P2, such that part of the BER mitigating effect 10 is due to this increase.

In accordance with the present invention, instead of, or in addition to, increasing the power level during frame portions LHF and THF, the spreading factor SF is increased from SF1 to SF2 in those intervals. The ratio between SF2 and SF1 is readily chosen by persons of ordinary skill in the art to prevent increase in BER, taking into account 15 whether there is an accompanying increase in power level, and if so, the ratio of P2 to P1. Under any circumstances where an increase in spreading factor is utilized, SF2 is at least twice SF1. For example, SF1 and SF2 are 32 and 64, respectively.

It should now be appreciated that the objects of the present invention have been satisfied. While the present invention has been described in particular detail, it should 20 also be appreciated that numerous modifications are possible within the intended spirit and scope of the invention. In interpreting the appended claims it should be understood that:

- a) the word "comprising" does not exclude the presence of other elements or steps than those listed in a claim;
- b) the word "a" or "an" preceding an element does not exclude the presence of 25 a plurality of such elements.
- c) any reference signs in the claims do not limit their scope; and
- d) several "means" may be represented by the same item of hardware or software implemented structure or function.

## CLAIMS:

1. A slotted mode method in a wireless CDMA communication system (20) in which while a mobile station (10) is in communication at a serving operating frequency with a first base station (22), a slotted communication mode is employed which includes slotted frames (SFR) interspersed among normal frames (NFR) containing data at a first spreading factor and a first power level, each slotted frame comprising a slot (SLT) during which a measurement of signal quality of transmission at a different candidate operating frequency is made by the mobile station (10), a leading frame portion (LHF) preceding the slot (SLT), and a trailing frame portion (THF) succeeding the slot, both of which frame portions contain data having a second spreading factor and a second power level, characterized in that the second spreading factor is greater than the first spreading factor.  
10
2. The method as claimed in Claim 1, wherein the second power level is equal to or greater than the first power level.
- 15 3. The method as claimed in any of Claims 1-2, wherein the second spreading factor is at least double the first spreading factor.
4. A program stored in a memory (66) for causing a processor (64) within a mobile station (10) to steer a mobile station end of a slotted mode method in a wireless  
20 CDMA communication system (20) in which while a mobile station (10) is in communication at a serving operating frequency with a first base station (22), a slotted communication mode is employed which includes slotted frames (SFR) interspersed among normal frames (NFR) containing data at a first spreading factor and a first power level, each slotted frame comprising a slot during which a measurement of signal quality of transmission  
25 at a different candidate operating frequency is made by the mobile station, a leading frame portion (LFR) preceding the slot, and a trailing frame portion (TFR) succeeding the slot, both of which frame portions contain data having a second spreading factor and a second power level, characterized in that the second spreading factor is greater than the first spreading factor.  
factor.

5. A mobile station (10) containing a program stored in a memory (66) for causing a processor (64) within the mobile station (10) to steer a mobile station end of a slotted mode method in a wireless CDMA communication system (20) in which while the  
5 mobile station (10) is in communication at a serving operating frequency with a first base station (22), a slotted communication mode is employed which includes slotted frames (SFR) interspersed among normal frames (NFR) containing data at a first spreading factor and a first power level, each slotted frame comprising a slot during which a measurement of signal quality of transmission at a different candidate operating frequency is made by the mobile  
10 station, a leading frame portion (LFR) preceding the slot, and a trailing frame portion (TFR) succeeding the slot, both of which frame portions contain data having a second spreading factor and a second power level, characterized in that the second spreading is greater than the first spreading factor.
- 15 6. The mobile station as claimed in Claim 5, wherein the second power level is equal to or greater than the first power level.
7. The mobile station as claimed in any of Claims 5-6, wherein the second spreading factor is at least double the first spreading factor.
- 20 8. A slotted communication mode signal which is employed in a wireless CDMA communication system (20) while a mobile station (10) is in communication at a serving operating frequency with a first base station (22), said slotted communication mode signal including slotted frames (SFR) interspersed among normal frames (NFR) containing data at a first spreading factor and a first power level, each slotted frame comprising a slot (SLT) during which a measurement of signal quality of transmission at a different candidate operating frequency is made by the mobile station (10), a leading frame portion (LHF) preceding the slot (SLT), and a trailing frame portion (THF) succeeding the slot, both of which frame portions contain data having a second spreading factor and a second power  
25 level, characterized in that the second spreading is greater than the first spreading factor.
- 30 9. The signal as claimed in Claim 8, wherein the second power level is equal to or greater than the first power level.

10. The signal as claimed in any of Claims 8-9, wherein the second spreading factor is at least double the first spreading factor.

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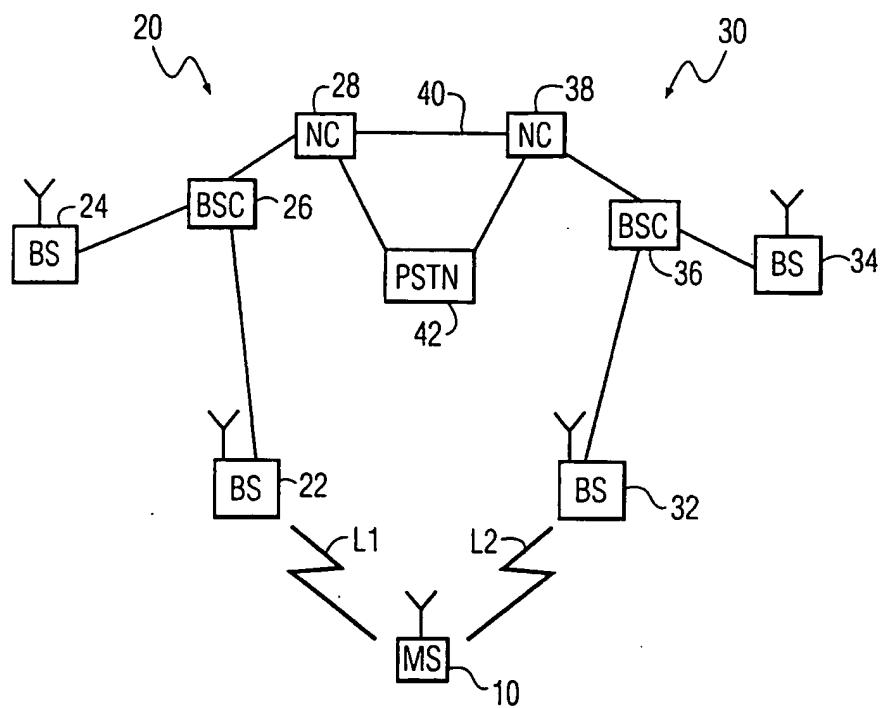


FIG. 1

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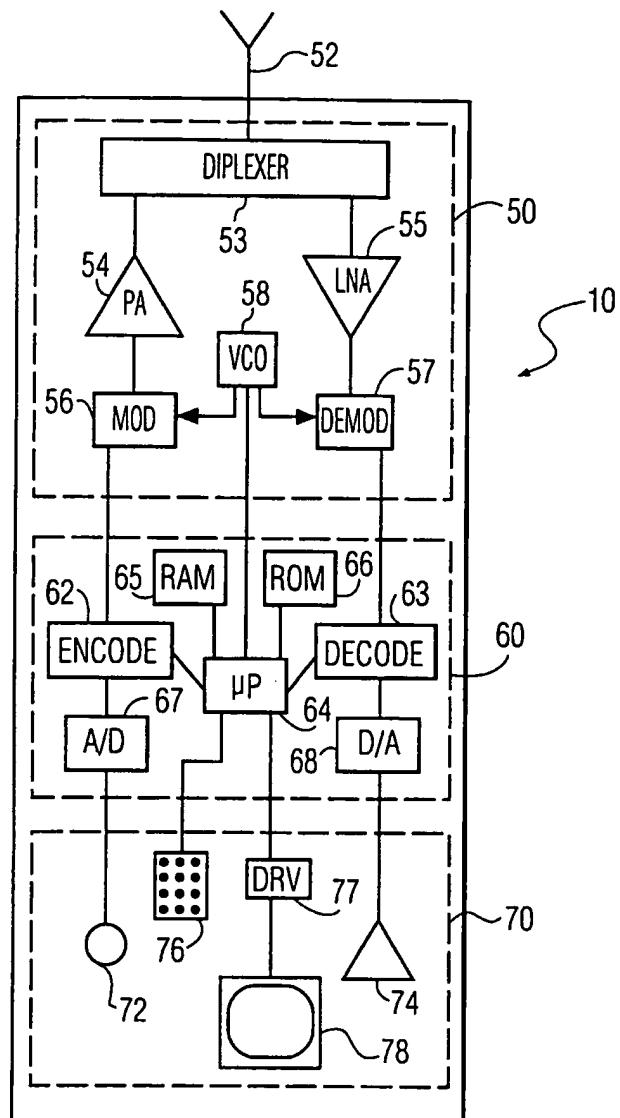
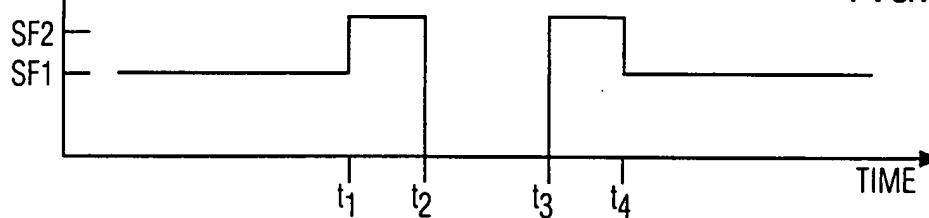
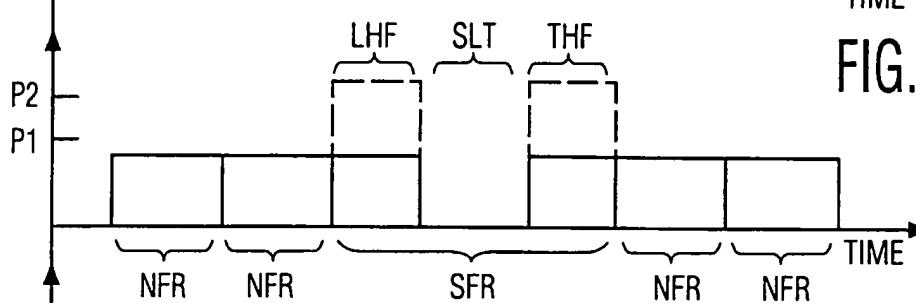
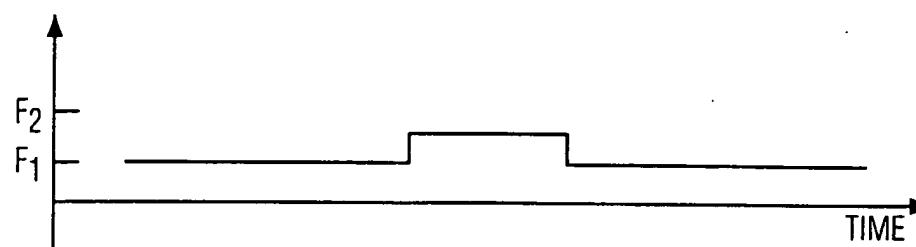


FIG. 2

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# INTERNATIONAL SEARCH REPORT

Intern. 1st Application No  
PCT/EP 00/12439

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 7 H04B17/00

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04B H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, INSPEC

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 99 56410 A (RAAF BERNHARD ;SIEMENS AG (DE)) 4 November 1999 (1999-11-04) abstract page 4, line 30 -page 7, line 30 figures 1,2 —	1-10
Y	GUSTAFSSON M ET AL: "Compressed Mode Techniques for Inter-Frequency Measurements in a Wide-band DS-CDMA System" WAVES OF THE YEAR 2000+ PIMRC. THE IEEE INTERNATIONAL SYMPOSIUM ON PERSONAL, INDOOR AND MOBILE RADIO COMMUNICATIONS. TECHNICAL PROGRAM, XX, XX, vol. 1, 1 September 1997 (1997-09-01), pages 231-235, XP002127494 abstract page 232, column 2 -page 233, column 2 — -/-	1-10

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
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Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

Yang, Y

# INTERNATIONAL SEARCH REPORT

Intell. Int'l Application No  
PCT/EP 00/12439

## C/(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 533 014 A (EWERBRING LARS-MAGNUS ET AL) 2 July 1996 (1996-07-02) abstract -----	1-10

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 00/12439

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US 5533014	A	02-07-1996	US 5883899 A US 5896368 A AU 674241 B AU 7013094 A BR 9405405 A CA 2141446 A CN 1112384 A, B EP 0647380 A FI 950627 A JP 8500475 T NZ 267748 A WO 9429981 A	16-03-1999 20-04-1999 12-12-1996 03-01-1995 08-09-1999 22-12-1994 22-11-1995 12-04-1995 13-02-1995 16-01-1996 26-11-1996 22-12-1994